



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
087706,217	08/30/96	BERG	R 29615/DAF/B4

0242/1009

CHRISTIE PARKER & HALE  
P O BOX 7068  
PASADENA CA 91109-7068

0000

DATE MAILED:

10/09/96

### NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 715.00 for large entities or \$ 155.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 415.00.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 715.00 to complete the basic filing fee.

2. ☒ Additional claim fees of \$ 155.00 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.

8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because your check was returned without payment.

10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

11. ☒ Other.

1 201	375.00 CK
1 203	274.00 CK
1 205	65.00 CK

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

**A copy of this notice MUST be returned with the response.**



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Berg et al.  
Serial No. : 08/706,217  
Filed : August 30, 1996  
Title : METHOD AND APPARATUS FOR ENCAPSULATING PARTICULATES  
  
Grp./Div. : unknown  
Examiner : unknown  
  
Docket : 29615/DAP/B472

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

P.O. Box 7068  
Pasadena, CA 91109-7068  
October 18, 1996

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Commissioner:

In response to the Notice to File Missing Parts of Application  
dated October 9, 1996, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
  1. Check for \$714.00, including the basic filing fee (\$385.00) and surcharge (\$65.00) for a small entity and additional claim fee (\$264.00) for a small entity.
  2. Executed Small Entity Declaration.
  3. Executed Declaration and Power of Attorney.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE U.S. POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231 ON

Marilyn M. Mason  
10/18/96  
(DATE SIGNED)

Serial No. 08/706,217

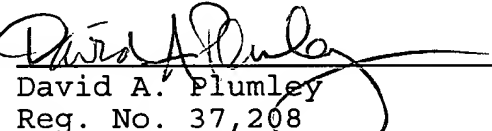
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check in the amount of \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Please address all communications to **CHRISTIE, PARKER & HALE, LLP, Post Office Box 7068, Pasadena, CA 91109-7068.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
David A. Plumley  
Reg. No. 37,208  
818/795-9900; 213/681-1800

DAP/lrb  
Enclosures



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## AMENDMENT TRANSMITTAL LETTER

PATENT  
RECEIVED

OCT 01 1997

GROUP 1100

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 26, 1997.

Signature

Applicant : Robert O. Berg et al.  
Application No. : 08/706,217  
Filed : August 30, 1996  
Title : METHOD AND APPARATUS FOR ENCAPSULATING PARTICULATES

RECEIVED

OCT 01 1997

GROUP 1100

Grp./Div. : 1102  
Examiner : Unknown

Docket No. : 29615/DAP/B472  
Date : August 26, 1997

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068

Enclosed is an amendment to the above-identified application.

CALCULATION OF FEE						
ITEM		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PAID FOR	EXCESS CLAIMS X RATE	SUBTOTAL	FEE
A	TOTAL CLAIMS FEE	42	44 *	0 X \$11	0	
B	INDEPENDENT CLAIMS	5	3 **	2 X \$40	\$80	
C	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)					\$80
D	MULTIPLE-DEPENDENT CLAIMS FEE***	SMALL ENTITY FEE = \$130.00 LARGE ENTITY FEE = \$260.00				0
E	TOTAL FILING FEE					\$80
F	NO ADDITIONAL FEE REQUIRED****	IF NO FEE REQUIRED, INSERT "0"				
LIST INDEPENDENT CLAIMS: 11, 32, 45, 48, 50						
* IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3 ** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 3 OR LESS, WRITE "3" IN COLUMN 3 *** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME **** IF NO FEE REQUIRED, ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"						

**Amendment Transmittal Letter**  
**Application No. 08/706,217**

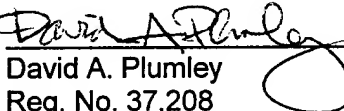
- ☒ Attached is our check for \$80 to pay the fees calculated above.
- ☐ A Petition for Extension of Time and the required fee are enclosed.
- ☒ Other enclosures: Information Disclosure Statement  
Form PTO-1449 (w/reference)  
Written Opinion

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by or to give effect to this paper to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Please address all correspondence to **CHRISTIE, PARKER & HALE, LLP, P.O. Box 7068, Pasadena, CA 91109-7068.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
David A. Plumley  
Reg. No. 37,208  
626/795-9900; 213/681-1800

DAP/clis  
CLS PAS98522.1-\*8/25/97 5:36 pm